

Annex A

S/N	Accused Person ¹	Charges
1	Huang Yiwen, sole director of GTC Group Pte Ltd, a commercial market maker	<ul style="list-style-type: none">• 31 counts of Section 197(1)(b) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create a misleading appearance with respect to the price of New Silkroutes Group securities on 31 trading days between 1 March 2018 and 27 August 2018• 50 counts of Section 197(1)(b) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create a misleading appearance with respect to the price of TEE International securities on 50 trading days between 6 March 2018 and 26 April 2019• Four counts of Section 197(1)(b) of the SFA for creating a misleading appearance with respect to the price of AGV Group securities on four trading days between 13 October 2017 and 14 November 2017• 12 counts of Section 197(1)(b) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create a misleading appearance with respect to the price of AGV Group securities on 12 trading days between 23 November 2017 and 30 January 2018

¹ Goh Jin Hian, Oo Cheong Kwan Kelvyn, and Teo Thiam Chuan William were charged in court on 20 September 2023. Huang Yiwen was first charged in court on 20 September 2023 with 31 counts of Section 197(1)(b) SFA read with Section 109 PC, alongside the aforementioned accused persons. Further charges were tendered against him on 12 December 2023. The rest of the accused persons were also charged on 12 December 2023.

		<ul style="list-style-type: none"> • One count of Section 197(1)(b) of the SFA read with Section 120B and 109 of the PC for being a party to a criminal conspiracy to do acts for a purpose of creating a misleading appearance with respect to the price of AGV Group securities on 5 February 2018 • 14 counts of Section 197(1)(a) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create misleading appearance of active trading in the securities of TEE International, AGV Group, BRC Asia, and Mencast Holdings, and units of Sasseur REIT
2	Goh Jin Hian, former Chief Executive Officer of New Silkroutes Group	<ul style="list-style-type: none"> • 31 counts of Section 197(1)(b) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create a misleading appearance with respect to the price of New Silkroutes Group securities on 31 trading days between 26 February 2018 and 27 August 2018 • Eight counts of Section 197(1)(b) of the SFA for pushing up the price of New Silkroutes Group securities on eight trading days between 31 August 2018 and 4 December 2018
3	Oo Cheong Kwan Kelvyn, former Chief Corporate Officer of New Silkroutes Group	<ul style="list-style-type: none"> • 31 counts of Section 197(1)(b) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create a misleading appearance with respect to the price of New Silkroutes Group securities on 31 trading days between 26 February 2018 and 27 August 2018

4	Teo Thiam Chuan William, former Finance Director of New Silkroutes Group	<ul style="list-style-type: none"> • 31 counts of Section 197(1)(b) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create a misleading appearance with respect to the price of New Silkroutes Group securities on 31 trading days between 26 February 2018 and 27 August 2018
5	Phua Chian Kin, former Group Chief Executive and Managing Director of TEE International	<ul style="list-style-type: none"> • 50 counts of Section 197(1)(b) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create a misleading appearance with respect to the price of TEE International securities on 50 trading days between 6 March 2018 and 26 April 2019 • Four counts of Section 408 of the PC for dishonestly misappropriating a total of \$3.75 million from two subsidiaries of TEE International on four occasions between February and March 2019 • Three counts of Section 402(1)(a) of the CA for making a false statement to the directors and an external auditor of TEE International on three occasions in July 2019
6	Neo Weng Meng, Edwin, former Managing Director of a subsidiary of TEE International	<ul style="list-style-type: none"> • 50 counts of Section 197(1)(b) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create a misleading appearance with respect to the price of TEE International securities on 50 trading days between 6 March 2018 and 26 April 2019
7	Albert Ang Nam Wah, former Chief Executive Officer of AGV Group	<ul style="list-style-type: none"> • 12 counts of Section 197(1)(b) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create a misleading appearance with respect to the

		<p>price of AGV Group securities on 12 trading days between 23 November 2017 and 30 January 2018</p> <ul style="list-style-type: none"> • One count of Section 197(1)(b) of the SFA read with Section 120B and 109 of the PC for being a party to a criminal conspiracy to do acts for a purpose of creating a misleading appearance with respect to the price of AGV Group securities on 5 February 2018
8	Sim Teck Chye, former Investor Relations Executive of AGV Group	<ul style="list-style-type: none"> • 12 counts of Section 197(1)(b) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create a misleading appearance with respect to the price of AGV Group securities on 12 trading days between 23 November 2017 and 30 January 2018 • One count of Section 197(1)(b) of the SFA read with Section 120B and 109 of the PC for being a party to a criminal conspiracy to do acts for a purpose of creating a misleading appearance with respect to the price of AGV Group securities on 5 February 2018 • One count of Section 201(b) of the SFA for engaging in practices which were likely to operate as a deception on a securities trading firm • One count of Section 204A read with Section 511 of PC for attempting to pervert the course of justice
9	Tay Sze Chien, then a fund manager	<ul style="list-style-type: none"> • 14 counts of Section 197(1)(a) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create misleading appearance of active trading in the

		<p>securities of TEE International, AGV Group, BRC Asia, and Mencast Holdings, and units of Sasseur REIT</p> <ul style="list-style-type: none"> • Five counts of Section 201(b) of the SFA for engaging in practices which were likely to operate as a deception on three securities trading firms
10	Tan Wei Liang, then a trading representative	<ul style="list-style-type: none"> • One count of Section 197(1)(a) of the SFA read with Section 109 of the PC for engaging in a conspiracy to create misleading appearance of active trading in the securities of BRC Asia • Two counts of Section 201(b) of the SFA read with Section 109 of the PC for intentionally aiding Tay Sze Chien in engaging in practices which were likely to operate as a deception on a securities trading firm